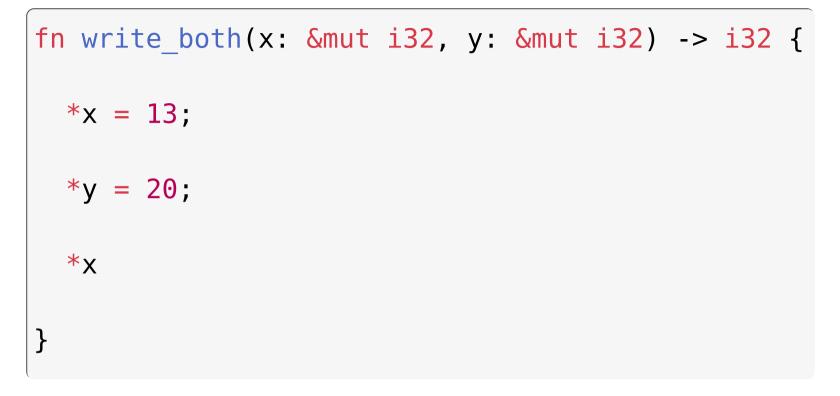
Tree Borrows

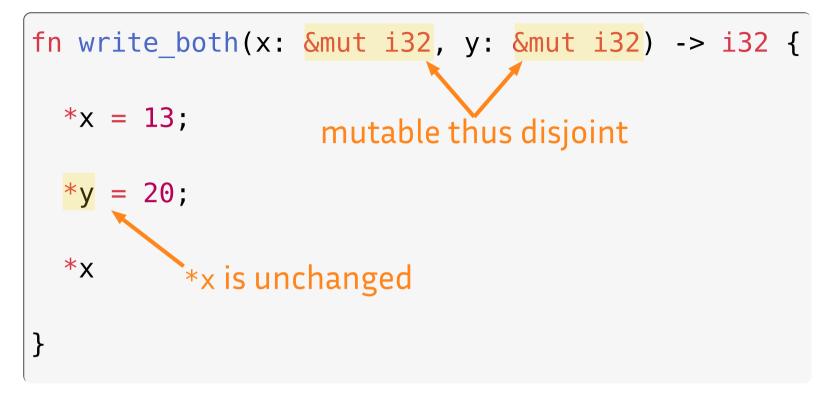
<u>Neven Villani</u>,¹ Johannes Hostert,² Derek Dreyer,³ Ralf Jung²

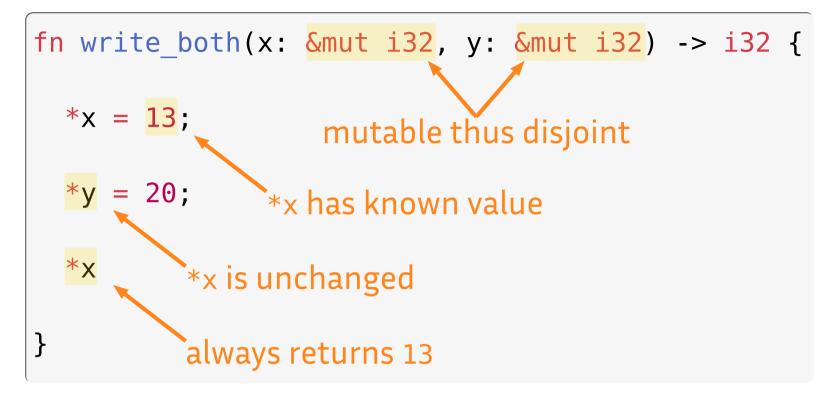
PLDI'25

2025-06-19

¹Univ. Grenoble Alpes, Verimag ²ETH Zurich ³MPI-SWS







fn write both(x: &mut i32, y: &mut i32) -> i32 { *x = 13;*y = 20;13 // formerly *x: one fewer load from memory }

Type-level guarantees for references



$\begin{array}{l} & \texttt{\&mut} \rightarrow \texttt{mutation}, \texttt{no aliasing} \\ & \texttt{\&} \rightarrow \texttt{aliasing}, \texttt{no mutation} \end{array}$

Escape hatch: unsafe

Can bypass typechecks to implement low-level manipulations

unsafe {

. . .

}

// Code within this block has relaxed typechecking

Within unsafe it is the programmer's responsibility to check

- that pointers are non-null
- that memory is initialized

What if **unsafe** code violates a necessary invariant?

```
fn write both(x: &mut i32, y: &mut i32) -> i32 {
  *x = 13;
 *y = 20;
 *X
fn main() {
  let mut root = 42;
 let ptr = \& raw mut root;
 let x = unsafe { &mut *ptr };
  let y = unsafe { &mut *ptr };
  println!("{}", write both(x, y));
```

}

What if **unsafe** code violates a necessary invariant?

```
fn write both(x: &mut i32, y: &mut i32) -> i32 {
 *x = 13;
 *y = 20;
 *X
}
fn main() {
  let mut root = 42;
 let ptr = \& raw mut root;
 let x = unsafe { &mut *ptr };
  let y = unsafe { &mut *ptr };
  println!("{}", write both(x, y));
```

}

What if **unsafe** code violates a necessary invariant?

```
fn write both(x: &mut i32, y: &mut i32) -> i32 {
  *x = 13;
 *y = 20;
 *X
fn main() {
  let mut root = 42;
 let ptr = \& raw mut root;
 let x = unsafe { &mut *ptr };
 let y = unsafe { &mut *ptr };
  println!("{}", write both(x, y));
}
```

Not the compiler's responsibility

Within unsafe it is the programmer's responsibility to check

- that pointers are non-null
- that memory is initialized
- compliance with aliasing rules

Tree Borrows (TB): defines those aliasing rules

Not the compiler's responsibility

Within unsafe it is the programmer's responsibility to check

- that pointers are non-null
- that memory is initialized
- Sounds familiar?
 Stacked Borrows has the same purpose,
 Tree Borrows is its successor.

In safe Rust, the Borrow Checker makes borrows well-bracketed. Stacked Borrows extends the well-bracketedness to unsafe.

```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
```

In safe Rust, the Borrow Checker makes borrows well-bracketed. Stacked Borrows extends the well-bracketedness to unsafe.

```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
```



new stack at root

In safe Rust, the Borrow Checker makes borrows well-bracketed. Stacked Borrows extends the well-bracketedness to unsafe.

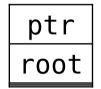
```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
```



```
    root is at the top
```

In safe Rust, the Borrow Checker makes borrows well-bracketed. Stacked Borrows extends the well-bracketedness to unsafe.

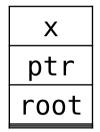
```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
```



- ✓ root is at the top
- push ptr

In safe Rust, the Borrow Checker makes borrows well-bracketed. Stacked Borrows extends the well-bracketedness to unsafe.

```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
```

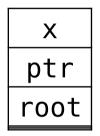


Desired outcome: UB

I ptr is at the top
 push x

In safe Rust, the Borrow Checker makes borrows well-bracketed. Stacked Borrows extends the well-bracketedness to unsafe.

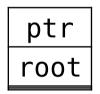
```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
```



pop until ptr is at the top

In safe Rust, the Borrow Checker makes borrows well-bracketed. Stacked Borrows extends the well-bracketedness to unsafe.

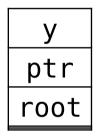
```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
```



pop until ptr is at the top

In safe Rust, the Borrow Checker makes borrows well-bracketed. Stacked Borrows extends the well-bracketedness to unsafe.

```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
```

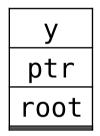


Desired outcome: UB

pop until ptr is at the top
push y

In safe Rust, the Borrow Checker makes borrows well-bracketed. Stacked Borrows extends the well-bracketedness to unsafe.

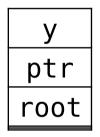
```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
```



search for x

In safe Rust, the Borrow Checker makes borrows well-bracketed. Stacked Borrows extends the well-bracketedness to unsafe.

```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
```



Can't use x if it is not in the stack

SB was **implemented** in Miri (official interpreter and UB detector) \rightarrow included in many projects' CI

 \rightarrow several bugs detected (e.g. in stdlib)

SB was **implemented** in Miri (official interpreter and UB detector) \rightarrow included in many projects' CI

ightarrow several bugs detected (e.g. in stdlib)

However Stacked Borrows is too strict

- analysis of 30 000 libraries
- 6000+ tests that should work are declared UB

Tree Borrows allows much more code

Stacked Borrows (SB)

Tree Borrows uses a **tree** instead of a stack to track borrows

Out of 30 000 most downloaded libraries, > 50% fewer tests with aliasing UB when using Tree Borrows

Tree Borrows allows much more code

Stacked Borrows (SB)

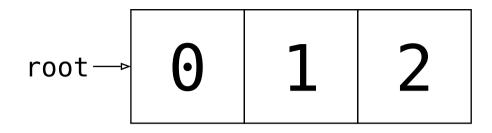
Tree Borrows uses a **tree** instead of a stack to track borrows

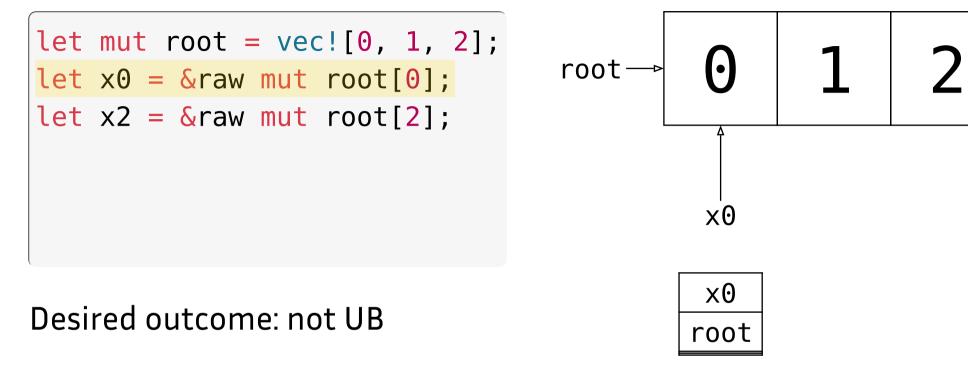
Out of 30 000 most downloaded libraries, > 50% fewer tests with aliasing UB when using Tree Borrows

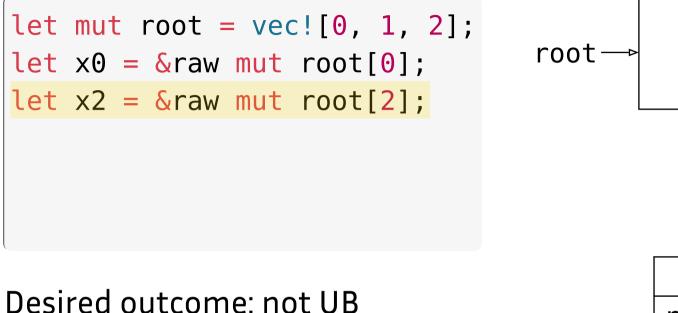
fixes known technical limitations of TB, incl. handling of ranges

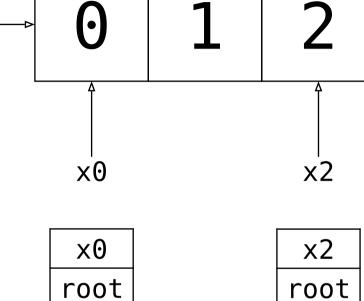
```
let mut root = vec![0, 1, 2];
let x0 = &raw mut root[0];
let x2 = &raw mut root[2];
```

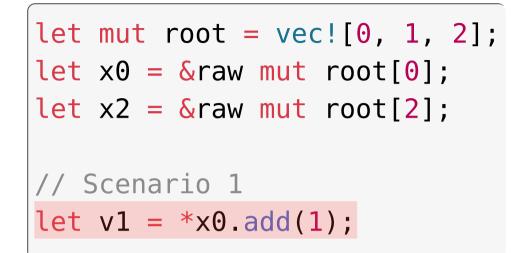
let mut root = vec![0, 1, 2]; let x0 = &raw mut root[0]; let x2 = &raw mut root[2];

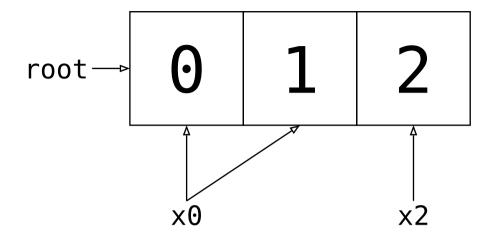




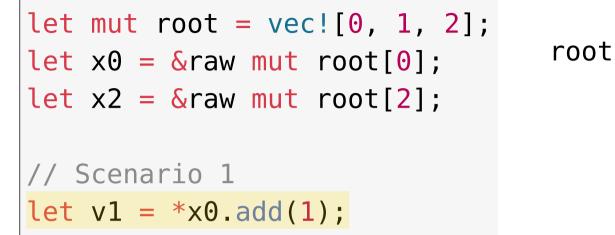


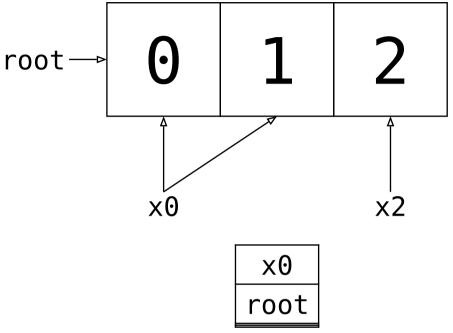


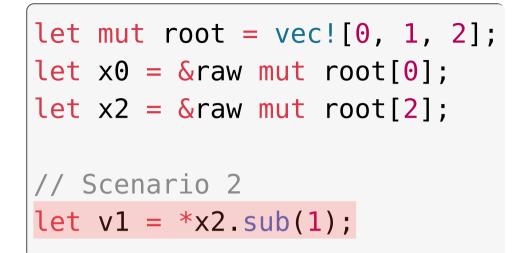


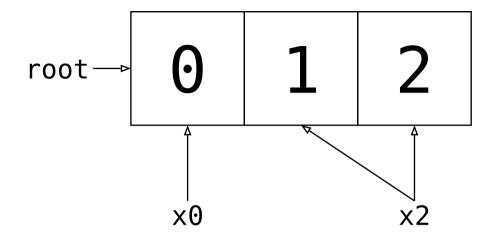




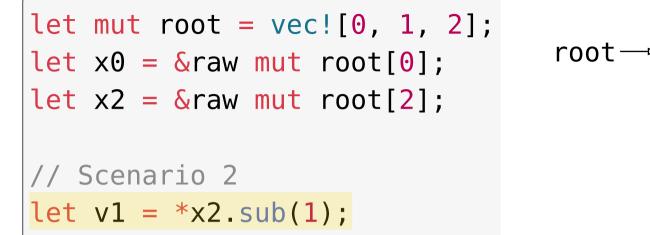


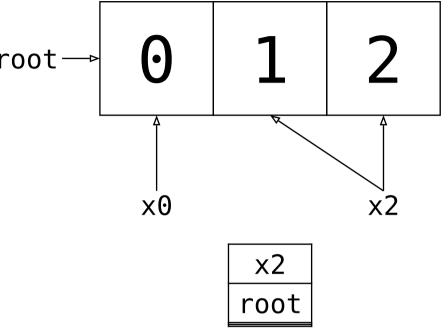






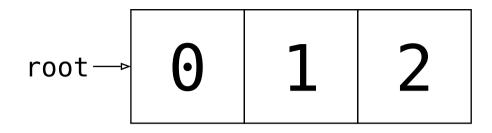


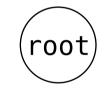




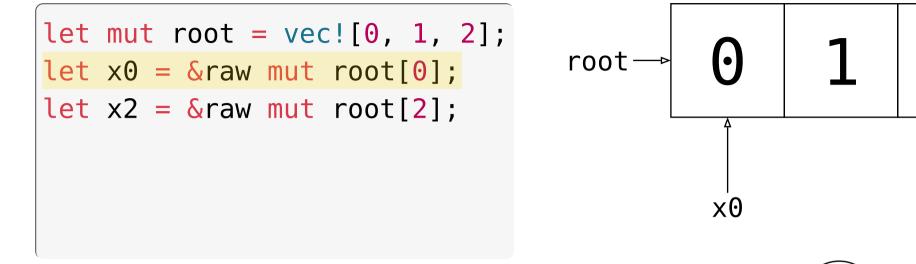
```
let mut root = vec![0, 1, 2];
let x0 = &raw mut root[0];
let x2 = &raw mut root[2];
```

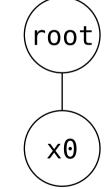
let mut root = vec![0, 1, 2]; let x0 = &raw mut root[0]; let x2 = &raw mut root[2];

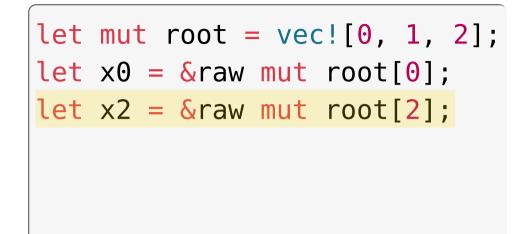


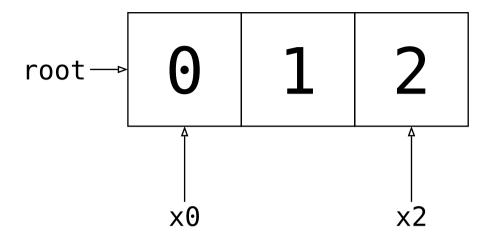


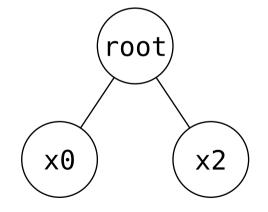
/

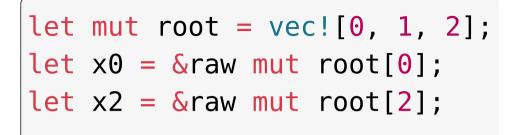


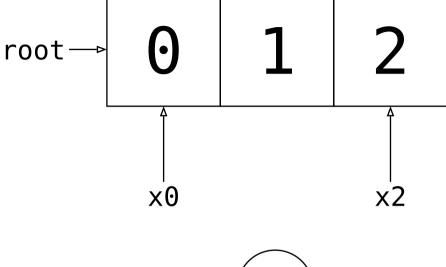






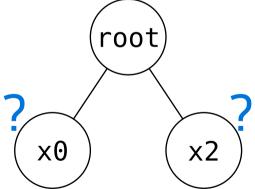






Desired outcome: not UB

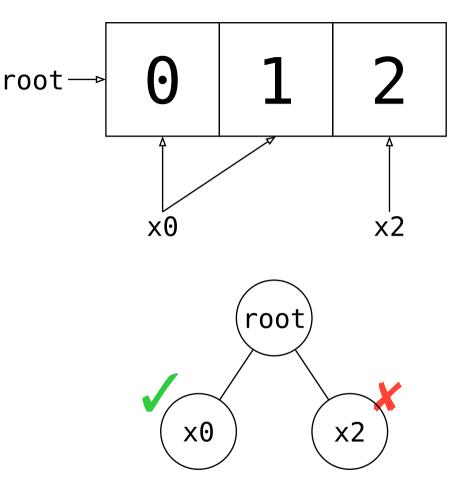
? "Reserved"



```
let mut root = vec![0, 1, 2];
let x0 = &raw mut root[0];
let x2 = &raw mut root[2];
// Scenario 1
let v1 = *x0.add(1);
```

Desired outcome: not UB

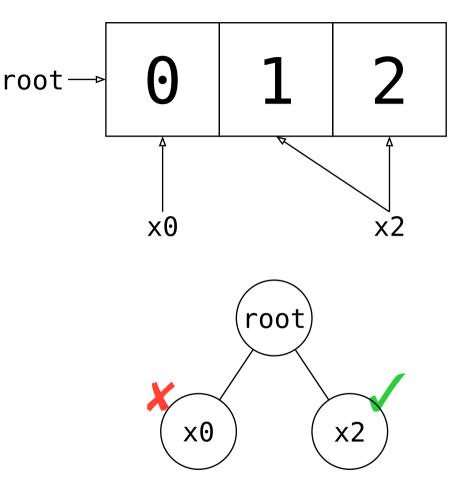
?"Reserved" ✓"Unique" ★"Disabled"



```
let mut root = vec![0, 1, 2];
let x0 = &raw mut root[0];
let x2 = &raw mut root[2];
// Scenario 2
let v1 = *x2.sub(1);
```

Desired outcome: not UB

?"Reserved" ✓"Unique" ★"Disabled"



From Stacks to Trees

```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
*y = 20;
```

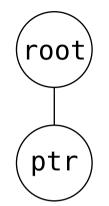
From Stacks to Trees

```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
*y = 20;
```



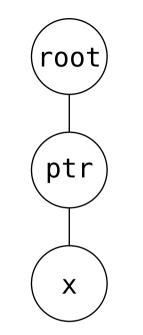
```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
*y = 20;
```

From Stacks to Trees



```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
*y = 20;
```

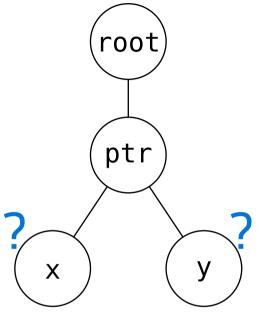
Desired outcome: UB



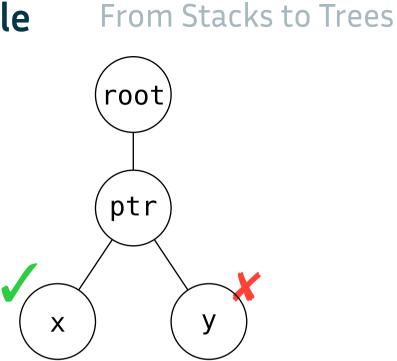
From Stacks to Trees

```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
*y = 20;
```



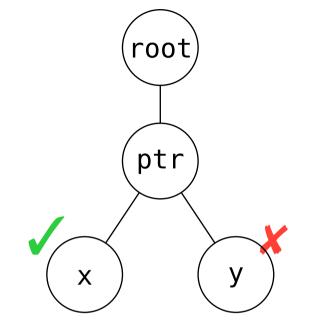


```
let mut root = 42;
let ptr = &raw mut root;
let x = unsafe { &mut *ptr };
let y = unsafe { &mut *ptr };
*x = 13;
*y = 20;
```



let mut root = 42; let ptr = &raw mut root; let x = unsafe { &mut *ptr }; let y = unsafe { &mut *ptr }; *x = 13; *y = 20;

Desired outcome: UB



From Stacks to Trees

A second look at the motivating example Forbids reordering reads

From Stacks to Trees

In SB:

```
let mut root = 0;
let x = &mut root;
let v1 = *x;
let v2 = root;
```

root, root, x, x, root is well-bracketed root, root, x, root, x is not

In TB: a read never prevents another read.

Evaluation

TB should enable desired optimizations



i.e. have enough UB to rule out problematic patterns

- formalized in Rocq (+Simuliris)
- a selection of optimizations proven
 - \checkmark delete read through &mut or &
 - \checkmark insert read through & in function
 - \checkmark move read down for &mut or & in function

+ read-read reordering!

...

It should be possible to write unsafe code free of UB Evaluation

i.e. UB should be predictable and not too common

- implemented in Miri
- tested against 30 000 most downloaded libraries on crates.io
 - ► 400 000+ working tests
 - measure how many have UB from Stacked / Tree Borrows

It should be possible to write unsafe code free of UB Evaluation

i.e. UB should be predictable and not too common

- implemented in Miri
- tested against 30 000 most downloaded libraries on crates.io
 - ► 400 000+ working tests
 - measure how many have UB from Stacked / Tree Borrows

Tree Borrows reduces aliasing-related UB by over 50%

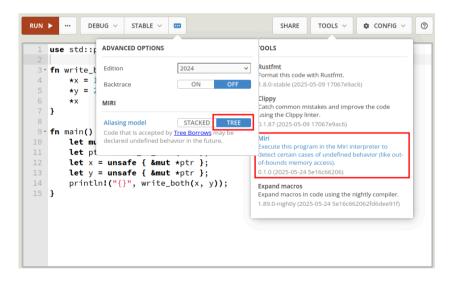
Only 31 (< 0.5%) tests are regressions, all easily fixable.

Conclusion

Evaluation

Try it out:

Rust Playground supports TB play.rust-lang.org



Learn more:



plf.inf.ethz.ch/research/
pldi25-tree-borrows.html

- detailed state machine
- raw pointers
- interior mutability