Refinements for free!¹

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Verifying computer algebra algorithms

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- Computer algebra algorithms can help automate proofs
- Formal proofs bridge the gap between paper correctness proofs and real-life implementations
- Proof assistants can provide independent verification of results obtained by computer algebra programs (e.g. ζ(3) is irrational, computation of homology groups)

Traditional approaches to program verification:

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Specificity of computer algebra programs:

- Computer algebra algorithms can have complex specifications
- Efficiency matters!

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Specificity of computer algebra programs:

- Computer algebra algorithms can have complex specifications
- Efficiency matters!

Problem: these aspects are often in tension We suggest a methodology based on refinements to achieve separation of concerns We know that a program must be correct and we can study it from that viewpoint only; we also know that it should be efficient and we can study its efficiency on another day, so to speak. [...] But nothing is gained – on the contrary! – by tackling these various aspects simultaneously. It is what I sometimes have called "the separation of concerns"

Dijkstra, Edsger W.

"On the role of scientific thought" (1982)

Program and data refinements

We distinguish two kinds of refinements:

- Program refinement: improving the algorithmics
- Data refinement: switching to more efficient data representation



First example: natural numbers

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Variable R : ringType.
Record polynomial :=
   Polynomial {polyseq :> seq R; _ : last 1 polyseq != 0}.
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Operators over (seq R) are partially specified as refinements of their counterparts from (polynomial R).

Third example: rational numbers

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Record rat : Set := Rat {
  valq : (int * int) ;
  _ : (0 < valq.2) && coprime '|valq.1| '|valq.2|
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The proof-oriented rat enforces that fractions are reduced

- Allows to use Leibniz equality in proofs
- This invariant is costly to maintain during computations

We would like to relax the constraint and express that rat is isomorphic to a quotient of a subset of pairs of integers.

Refinements for free! | Basic framework

Representation of refinements



Refinements for free! | Basic framework

Representation of refinements



The old strategy

Assuming we have a theory on a type A:

- write efficient algorithms for A,
- 2 prove that A and C are isomorphic,
- 3 duplicate the algorithms for C,
- **4** prove extensional equality of algorithms.

The new strategy

Assuming we have a theory on a type A:

- 1 write efficient algorithms in a generic form,
- instantiate in A and prove them,
- **3** instantiate in C and get correction by parametricity.

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- Generic programming: only one description of the algorithm, then specialized for proofs or computations.
- Compositionality: refining (polynomial A) to (seq C).
- Automating correctness proofs when changing representations.

Generic programming: addition over rationals

Generic datatype

```
Definition Q Z := (Z * Z).
```

Generic operations

```
Definition addQ Z '{add Z} '{mul Z} : add (Q Z) :=
fun x y => (x.1 * y.2 + y.1 * x.2, x.2 * y.2).
```

To prove correctness of addQ, operators (+ : add Z) and (* : mul Z) are instanciated to proof-oriented definitions. When computing, these operators are instanciated to more efficient ones.

Proof-oriented correction

- The type int is the proof-oriented version of integers.
- The type rat is the proof-oriented version of rationals.

```
Correctness of add0 int
Definition addQ Z '{add Z} '{mul Z} : add (Q Z) :=
 fun x y => (x.1 * y.2 + y.1 * x.2, x.2 * y.2).
Definition Rrat : rat -> 0 int -> Prop := ofun hrel
   Oint to rat.
Lemma Rrat addQ :
 forall (x : rat) (u : Q int), Rrat x u \rightarrow
 forall (y : rat) (v : Q int), Rrat y v \rightarrow
 Rrat (addq x y) (addQ u v).
```

Compositionality

```
Composing relations
```

```
Definition comp_hrel
  (R : A -> B -> Prop) (R' : B -> C -> Prop) : A -> C ->
    Prop :=
    fun a c => exists b, R a b /\ R' b c.
Notation "X \o Y" := (comp_hrel X Y).
```

Example for rat

Definition Rrat : rat -> Q int -> Prop := ofun_hrel Qint_to_rat.

```
Variables (Z : Type) (Rint : int -> Z -> Prop).
Definition RratA : rat -> Q Z -> Prop :=
  (Rrat \o (Rint * Rint))%rel.
```

Compositionality

Correctness of addQ

```
Definition addQ Z '{add Z} '{mul Z} : add (Q Z) :=
fun x y => (x.1 * y.2 + y.1 * x.2, x.2 * y.2).
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```
Variables (Z : Type) (Rint : int -> Z -> Prop).
Definition RratA := (Rrat \o (Rint * Rint))%rel.
```

```
Lemma RratA_addQ '{add Z, mul Z} : [...] ->
forall (x : rat) (u : Q Z), RratA x u ->
forall (y : rat) (v : Q Z), RratA y v ->
RratA (addq x y) (addQ u v).
```

This will be provable as soon as the addition and multiplication over Z refines the ones over int.

```
Correctness of add0
Definition addQ Z '{add Z} '{mul Z} : add (Q Z) :=
 fun x y => (x.1 * y.2 + y.1 * x.2, x.2 * y.2).
Variables (Z : Type) (Rint : int -> Z -> Prop).
Definition RratA := (Rrat \setminus o (Rint * Rint))%rel.
Lemma RratA_addQ '{add Z, mul Z} : [...] ->
 forall (x : rat) (u : Q Z), RratA x u \rightarrow
 forall (y : rat) (v : Q Z), RratA y v \rightarrow
 RratA (addg x y) (add0 u v).
```

```
Correctness of addQ
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Definition RratA := (Rrat \o (Rint * Rint))%rel.
Lemma RratA_addQ '{add Z, mul Z} : [...] ->
  (RratA ==> RratA ==> RratA) addq (addQ (+) (*))
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 (Rint ==> Rint ==> Rint) mulz (*) ->
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Correctness of addQ
Variables (Z : Type) (Rint : int -> Z -> Prop).
Definition RratA := (Rrat \o (Rint * Rint))%rel.
```

```
Lemma Rrat_addQ : (Rrat ==> Rrat ==> Rrat) addq (addQ
addz mulz)
```

```
Lemma param_addQ '{add Z, mul Z} :
  (Rint ==> Rint ==> Rint) addz (+) ->
  (Rint ==> Rint ==> Rint) mulz (*) ->
  (Rint * Rint ==> Rint * Rint ==> Rint * Rint)
   (addQ addz mulz) (addQ (+) (*))
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Variables (Z : Type) (Rint : int -> Z -> Prop).
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(addQ addz mulz) (addQ (+) (*))

Rrat_addQ is not for free,

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    addz mulz)
Lemma param_addQ '{add Z, mul Z} :
    (Rint ==> Rint ==> Rint) addz (+) ->
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```
(Rint ==> Rint ==> Rint) mulz (*) ->
(Rint * Rint ==> Rint * Rint ==> Rint * Rint)
(addQ addz mulz) (addQ (+) (*))
```

- Rrat_addQ is not for free,
- but param_addQ should be!

```
Z : Type
Rint : int -> Z -> Prop
addZ : add Z
mulZ : mul Z
_ : (Rint ==> Rint ==> Rint) addz (+)
_ : (Rint ==> Rint ==> Rint) mulz (*)
(RratA ==> RratA ==> RratA) addg (@addQ Z (+) (*))
```

```
Z : Type
  Rint : int -> Z -> Prop
  addZ : add Z
  mulZ : mul Z
  : (Rint ==> Rint ==> Rint) addz (+)
  : (Rint ==> Rint ==> Rint) mulz (*)
(Rrat ==> Rrat ==> Rrat)
 addq (@addQ int addz mulz)
(Rint * Rint ==> Rint * Rint ==> Rint * Rint)
 (@addQ int addz mulz) (@addQ Z (+) (*))
```

```
Z : Type
Rint : int -> Z -> Prop
addZ : add Z
_ : (Rint ==> Rint ==> Rint) mulz (*)
((Rint ==> Rint ==> Rint) ==>
Rint * Rint ==> Rint * Rint ==> Rint * Rint)
(@addQ int addz) (@addQ Z (+))
```

```
Z : Type
Rint : int -> Z -> Prop
((Rint ==> Rint ==> Rint) ==>
        (Rint ==> Rint ==> Rint) ==>
        Rint * Rint ==> Rint * Rint ==> Rint * Rint)
(@addQ int) (@addQ Z)
```

Conclusion and future work

The approach we described:

- Reconciles convenient proofs with efficient computations
- Provides a mechanism to smoothly switch from one world to the other
- Avoids duplication of code

We

- applied it to algorithms we had previously verified: Karatsuba's polynomial multiplication, Strassen's matrix product,
- are still porting others from the old framework: Sasaki-Murao algorithm, Smith normal form.

Future work:

- have a better way to get parametricity than typeclasses,
- try on algorithms outside algebra,
- scale up to dependant types.

Thanks!