Randomized Strategies in Concurrent Games

Daniel Stan

Supervisors: Patricia Bouyer, Nicolas Markey



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Formal methods



• Formal models of complex systems;

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- Formal models of complex systems;
- To check safety properties;

Formal methods



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- Formal models of complex systems;
- To check safety properties;
- To optimize quantitative properties.

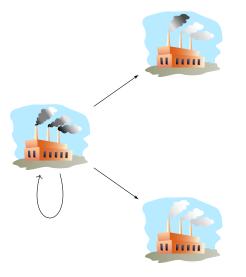
Formal methods



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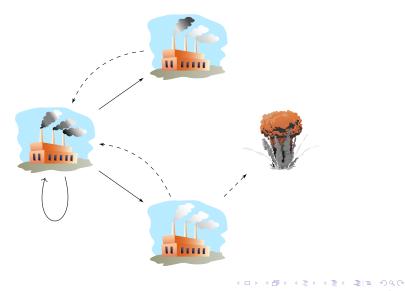
 \sim In this thesis: fundamental work, insights on these models.

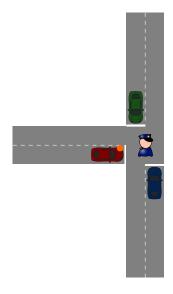
Turn-based games

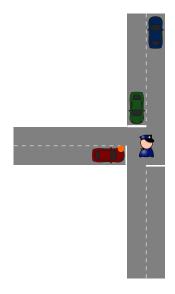


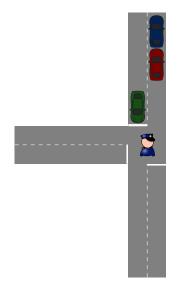
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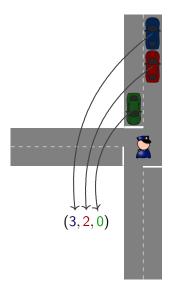
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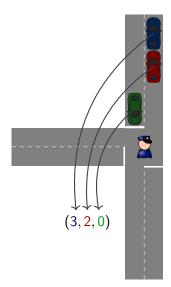


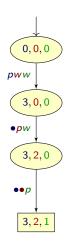


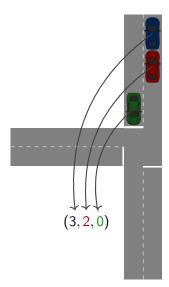






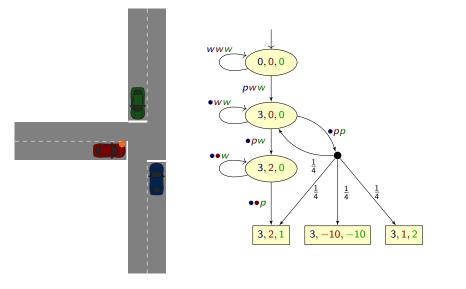




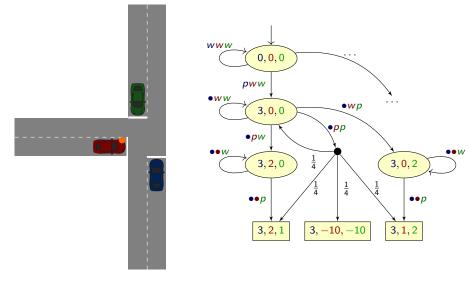




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- A finite graph, played concurrently
- by agents:

 $\mathsf{Agt} = \{1, 2 \ldots\};$

- Each agent *i* has a **strategy**: $\sigma_i : \text{States}^+ \rightarrow Dist(\text{Act})$
- and an objective:

 $\Phi_i : \mathsf{States}^\omega \to \mathbb{R}$

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- Φ is a terminal-reward objective if it depends only on the final visited state:
 → 3, -10, -10 , (0,...,0) otherwise
- Terminal-reachability if 0 and 1 values.
- Opposed to **safety** objectives ((0,...,0) whenever a final state is reached).



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Introduce a global scheduler.



Introduce a global scheduler.
 Randomize the decisions.



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 Randomize the decisions.



Here:

- Agents are devices, computer, processes;
- Communication collisions, cooperation for common objectives.









Two forms of uncertainties:

Non-determinism: "Does there exist a correct scheduler?"
 Stochasticity: "What is the probability mass of correct runs?"

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Simple objectives: terminal reachability and safety.

 \rightsquigarrow Main contributions to:

- Concurrent games on graphs: fixed number of agents;
- Parametrized verification of protocols: arbitrary number of processes.

Summary

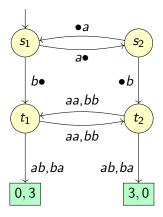


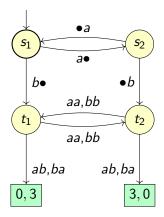






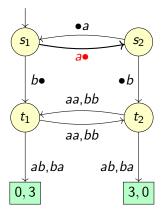
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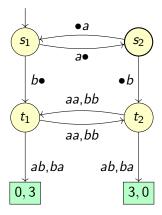
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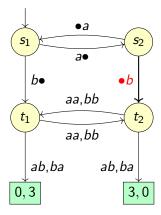
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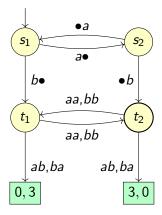


Strategies:

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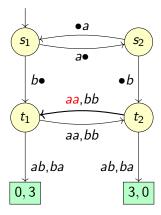
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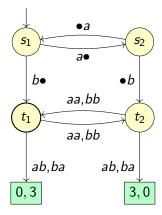
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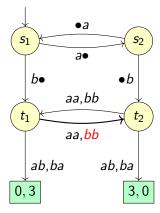
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Observation h	$\sigma_1(h)$	$\sigma_2(h)$
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$s_1 s_2 t_2$	а	а



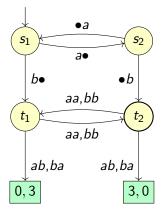
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$s_1 s_2 t_2$	а	а



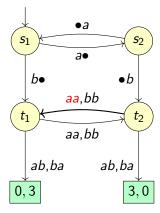
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<i>s</i> ₁	а	•
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$s_1 s_2 t_2$	а	а
$s_1 s_2 t_2 t_1$	b	b



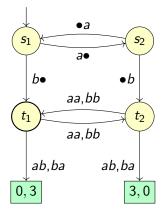
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$s_1 s_2 t_2$	а	а
$s_1 s_2 t_2 t_1$	b	b



Strategies:

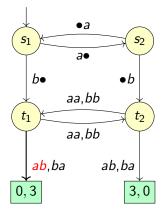
Observation h	$\sigma_1(h)$	$\sigma_2(h)$
<i>s</i> ₁	а	•
<i>s</i> ₁ <i>s</i> ₂	•	b
$s_1 s_2 t_2$	а	а
$s_1 s_2 t_2 t_1$	b	b
$s_1 s_2 t_2 t_1 t_2$	а	а



Strategies:

Observation h	$\sigma_1(h)$	$\sigma_2(h)$
<i>s</i> ₁	а	•
<i>s</i> ₁ <i>s</i> ₂	•	b
$s_1 s_2 t_2$	а	а
$s_1 s_2 t_2 t_1$	b	b
$s_1 s_2 t_2 t_1 t_2$	а	а

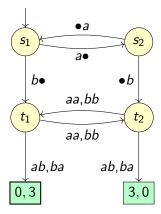
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Strategies:

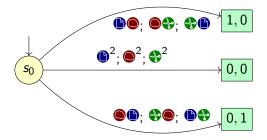
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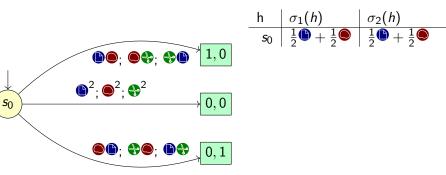
Observation h	$\sigma_1(h)$	$\sigma_2(h)$
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$s_1 s_2 t_2$	а	а
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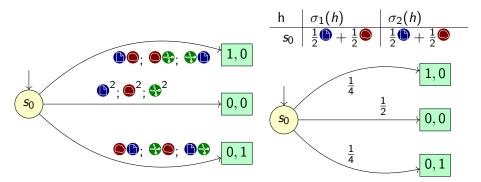


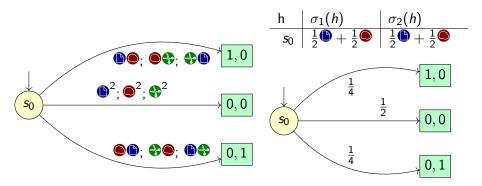
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$s_1 s_2 t_2 t_1 t_2$	а	а
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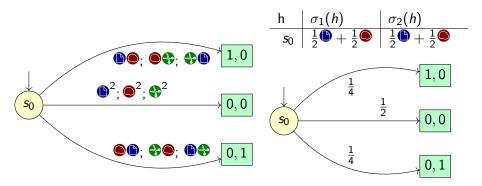




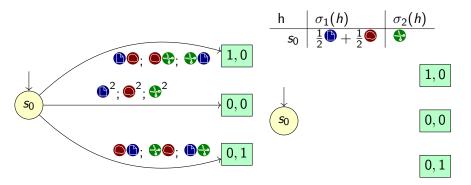


• Expected reward: $\mathbb{E}^{\sigma}(\Phi) = \frac{1}{4}(1,0) + \frac{1}{2}(0,0) + \frac{1}{4}(0,1) = (\frac{1}{4},\frac{1}{4}).$

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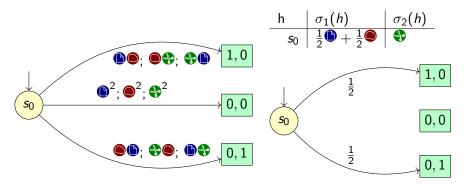


Expected reward: E^σ(Φ) = ¹/₄(1,0) + ¹/₂(0,0) + ¹/₄(0,1) = (¹/₄, ¹/₄).
 Player *i* wants to maximize E^σ(Φ_i)



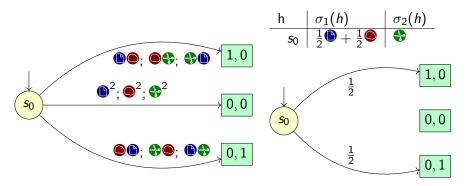
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• **Expected reward**: $\mathbb{E}^{\sigma}(\Phi) = (\frac{1}{2}, \frac{1}{2}).$

• Player *i* wants to maximize $\mathbb{E}^{\sigma}(\Phi_i)$: a profitable deviation.

Definition

 σ is a <u>Nash Equilibrium</u> (NE) if for all agent *i* and any other strategy for *i* (deviation) σ'_i ,

 $\mathbb{E}^{\sigma[i/\sigma'_i]}(\phi_i) \leq \mathbb{E}^{\sigma}(\phi_i)$

Definition

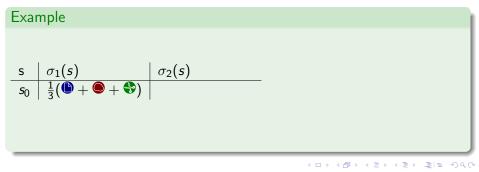
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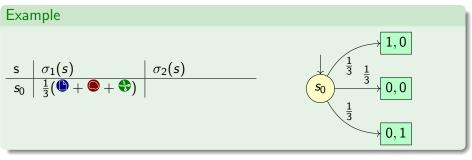
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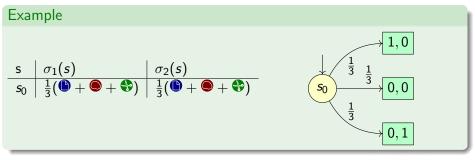
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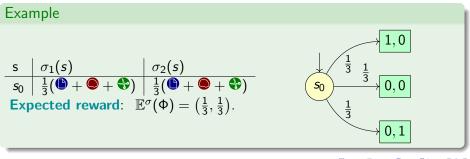
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Problem

- Input: a game G with terminal-reachability and safety objectives.
- **Output**: YES if there exists a NE σ for G, NO otherwise.

Preliminary problem before considering the computation of strategies.

	T. Reachability	Safety	Both
Existence			
Decidability			

	T. Reachability	Safety	Both
Existence			✓ one-stage-game [Nas50]
Decidability			✓ one-stage-game

	T. Reachability	Safety	Both
Existence			 ✓ one-stage-game [Nas50] ✗ deterministic games¹
Decidability			 ✓ one-stage-game ✓ deterministic games [BBMU11]

	T. Reachability	Safety	Both
			✓ one-stage-game [Nas50]
Existence			✗ deterministic games ¹
			⊁ zero-sum games [KS81]
			✓ one-stage-game
Decidability			✓ deterministic games [BBMU11]
			X at least 11 players [UW11]

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	Halmann		✓ one-stage-game
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Undecidability

Theorem (Bouyer, Markey, S. in FSTTCS'2014)

The existence problem is **undecidable** for three players.

Undecidability

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🔀 Features:

- Simulation of a 2-counter machine [Min67].
- Constraint NE: first player has to win almost-surely.



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The existence problem is **undecidable** for three players.

🔀 Features:

- Simulation of a 2-counter machine [Min67].
- Constraint NE: first player has to win almost-surely.



- Heavy use of concurrent actions.
- Heavy use of action-invisibility.
- Limited number of players.
- We can restrict to qualitative objectives.

Summary of the study

	T. Reachability	Safety	Both
Existence	<mark>Unknown</mark> ✓ ε-NE [CJM04]	✓ [SS01]	✓ one-stage-game [Nas50] X deterministic games X zero-sum games [KS81]
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	T. Reachability	Safety	Both
Existence	Unknown ✓ ε-NE [CJM04] ✓ ε-precise dev. (GandALF'2016)	✓ [SS01]	✓ one-stage-game [Nas50] X deterministic games X zero-sum games [KS81]
Decidability	Unknown ✓ ε-NE ✓ ε-precise dev.	1	 ✓ one-stage-game ✓ deterministic games [BBMU11] ✗ at least 11 players [UW11] ✗ at least 3 players (FSTTCS'2014)

Summary

1 Introduction

2 Concurrent Games

3 Parametrized Protocols



Parametrized verification



- Concrete situations: most agents are identical;
- The number of agents is an <u>unknown</u> parameter;

Parametrized verification



Several choices:

- Concrete situations: most agents are **identical**;
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- Idea: relax parameter assumptions to gain regularity;
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Parametrized verification

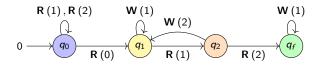


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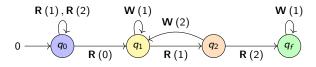
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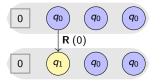
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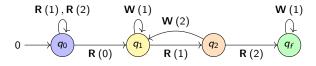
- Agent model: Finite automaton, pushdown automaton;
- Communication model [Esp14]: broadcast communication, shared register, *Rendez-Vous* (population protocols), shared register with non-atomicity.

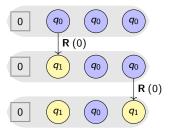


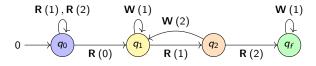


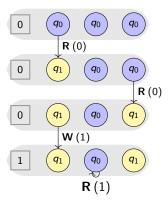


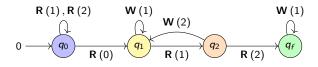


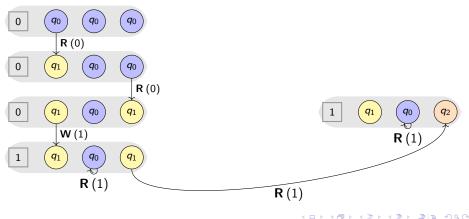


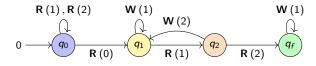


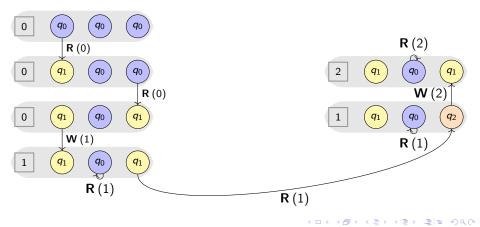


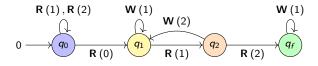


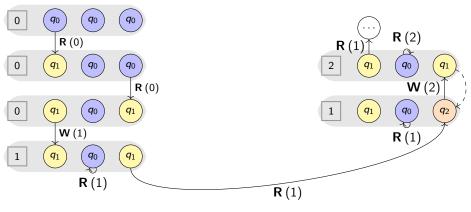




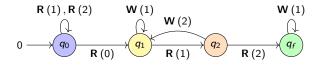


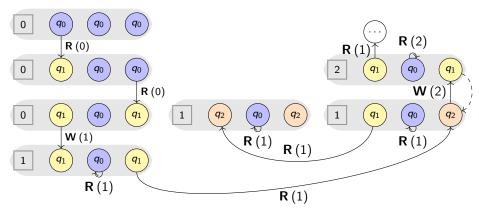


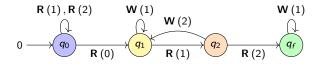


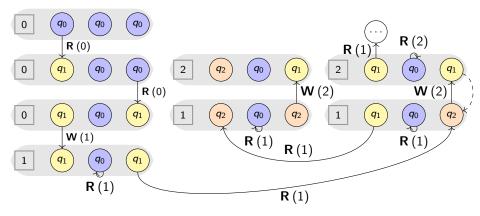


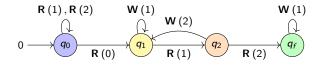
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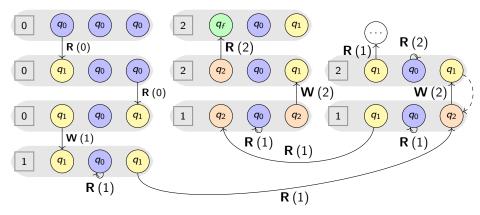


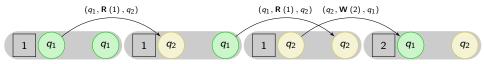


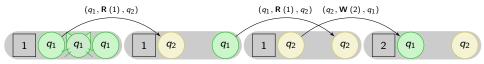




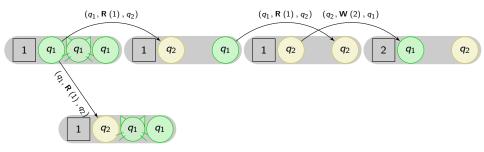


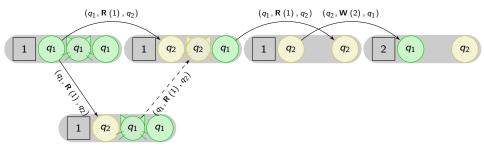


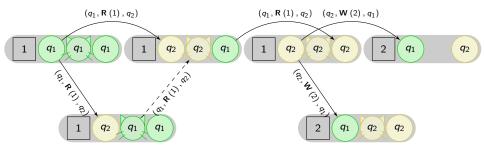


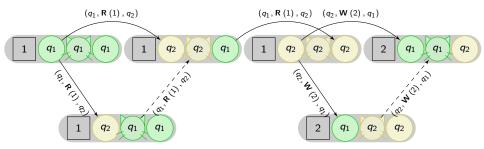


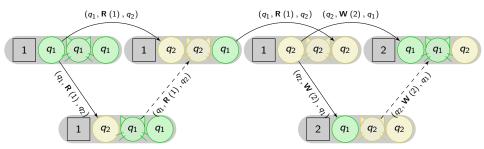
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- Coverability objective: reach ◊q_f, a configuration with at least one process in q_f.
- If reachable with parameter n, it is still reachable with parameter n + 1.
- This property holds because of the non-atomicity hypothesis.

Theorem ([EGM13, DEGM15])

The **coverability** problem can be solved in <u>polynomial</u> time and becomes NP-complete in the presence of a distinguished leader process.

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In this thesis:

What happens with a stochastic scheduler?

 \rightarrow Denote $\mathbb{P}_n(\Diamond q_f)$ the probability to cover a state q_f .

Almost-sure reachability

Lemma (Qualitative assumption)

The properties $\mathbb{P}_n(\Diamond q_f) > 0$ and $\mathbb{P}_n(\Diamond q_f) = 1$ do not depend on the actual probability distributions.

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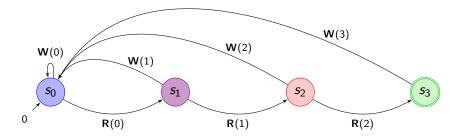
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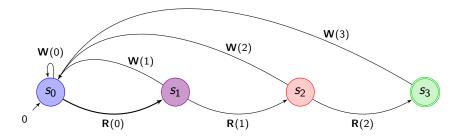
 \rightsquigarrow We focus now on the **almost-sure** case: $\mathbb{P}_n(\Diamond q_f) = 1$.

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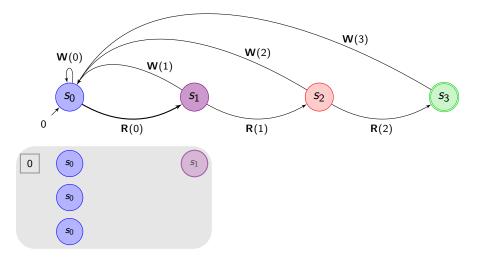


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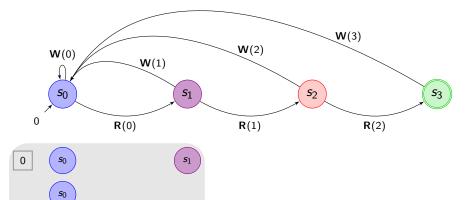


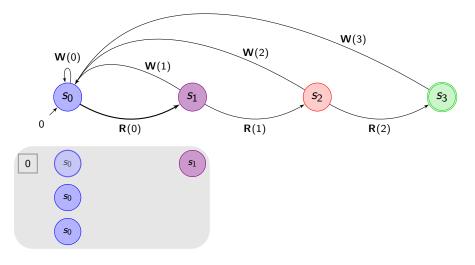


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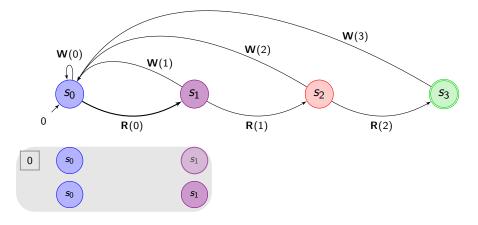


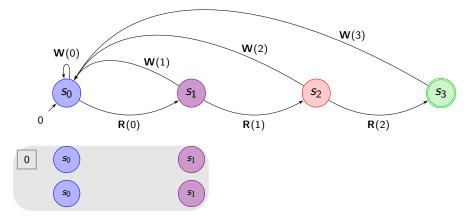
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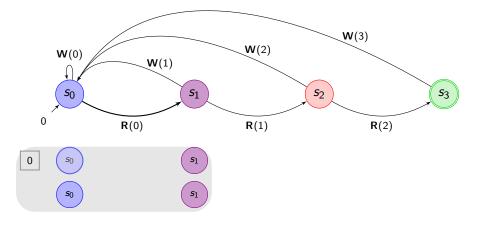


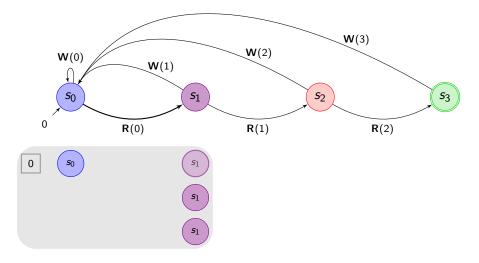


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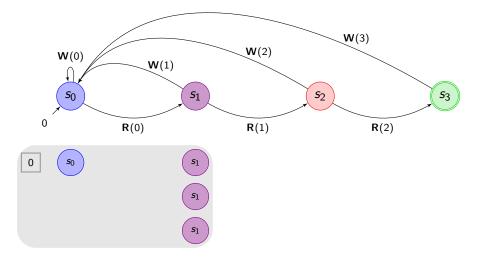




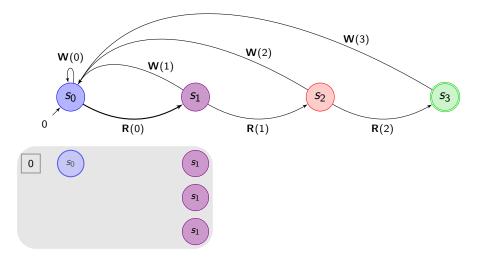


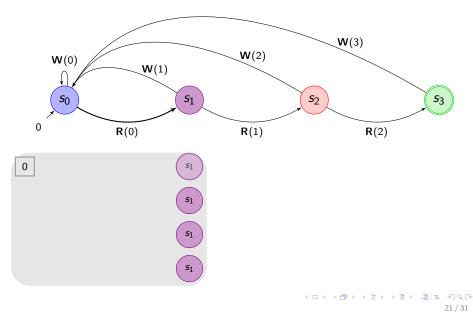


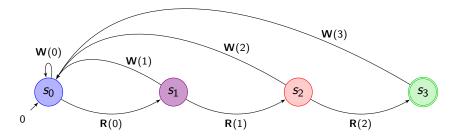
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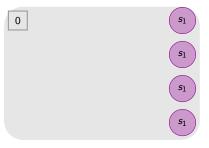


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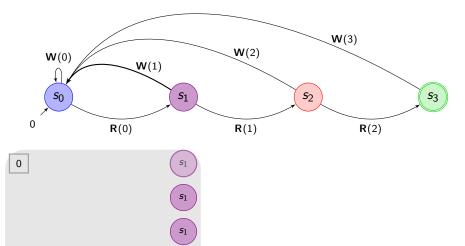


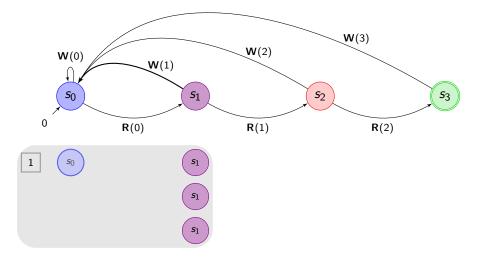


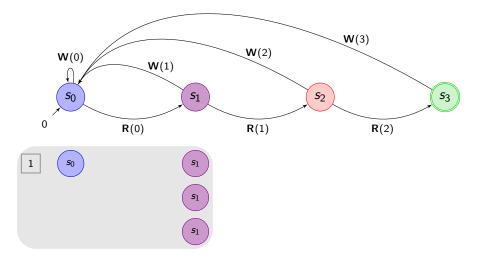


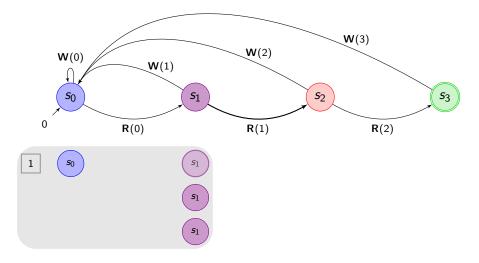
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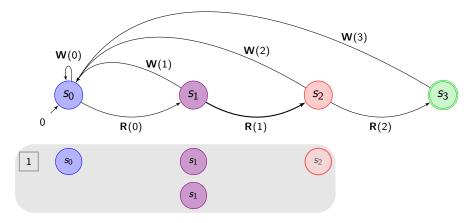
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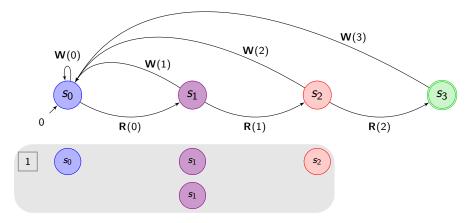


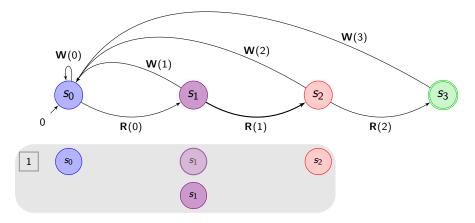


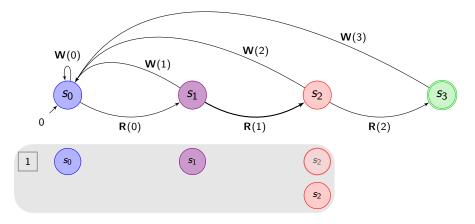


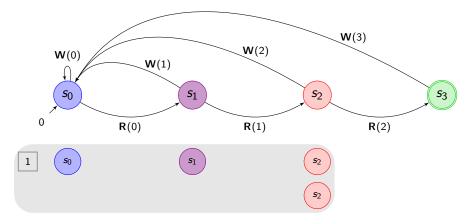


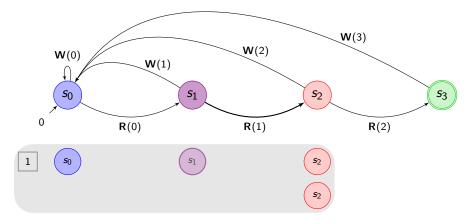


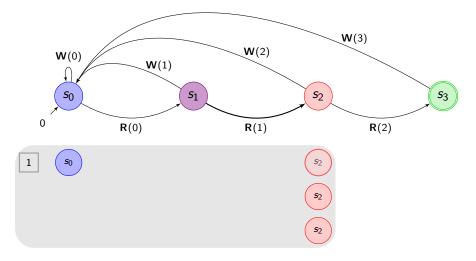


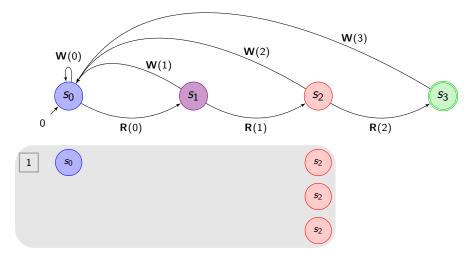


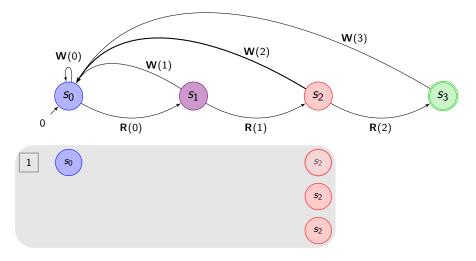


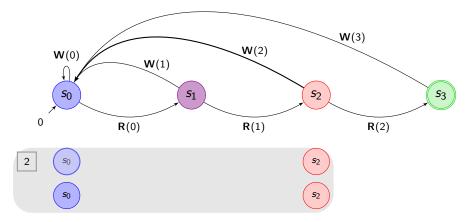


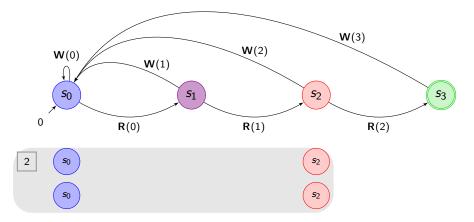


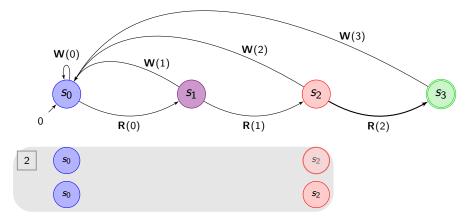


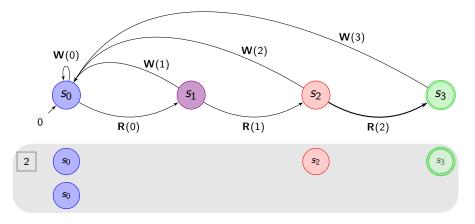


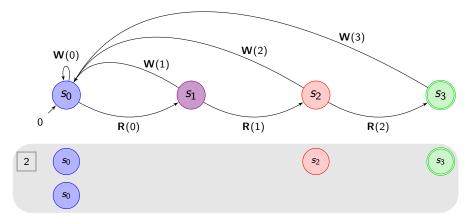


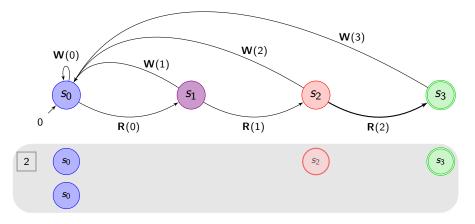


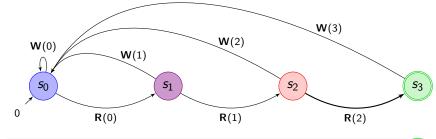


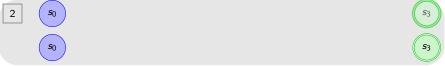


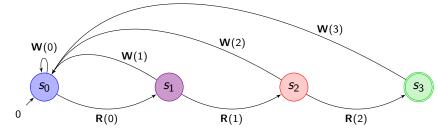




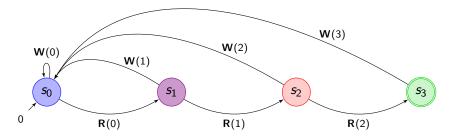






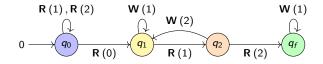




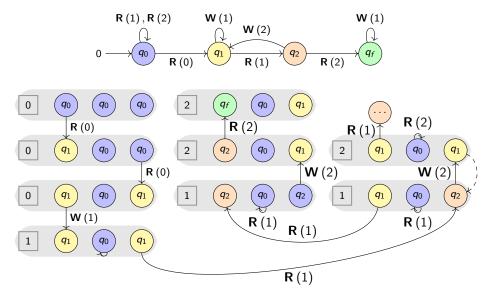


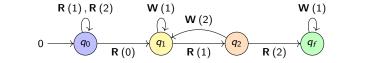
Conclusion of the filter protocol

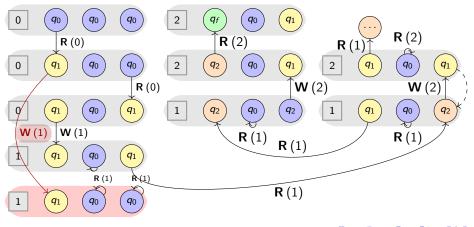
 $\Diamond q_f$ is reached **almost-surely** if, and only if, the parameter is **larger** than the number of states -1.











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A limit behaviour, if possible

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Definition (Cut-off [EK00])

Le N a parameter. If $\forall n \geq N \mathbb{P}_n(\Diamond q_f) = 1$ or $\forall n \geq N \mathbb{P}_n(\Diamond q_f) < 1$, then N is a cut-off.

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 \sim How to efficiently decide the type (**positive** or **negative**) of the cut-off?

Decision problem

Problem

- Input: a protocol \mathcal{P} .
- Output: YES if there exists a positive cut-off, NO otherwise.

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• So far, all examples have linear cut-off.

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- So far, all examples have linear cut-off.
- There exist protocols with exponential negative cut-off.
- Existence proof: minimal elements are shown to be doubly-exponential [Rac78].

Theorem (ICALP'2016)

The cut-off decision problem is PSPACE-hard and can be solved in EXPSPACE.

Extensions

Previous results are extended, with the same complexity, to:

- A distinguished leader process;
- Multiple registers with move operations;
- Simple upward-closed target objectives (instead of coverability);
- Almost-sure repeated reachability.

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 \sim Extension to the **local strategy** synthesis (with positive and zero probability).

1 Introduction

2 Concurrent Games

3 Parametrized Protocols



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Stochastic games: understanding of fundamental models

- Existence of a Nash equilibrium is undecidable even with three players and qualitative terminal-reachability and safety objectives.
- Narrow the gap between known existence and undecidability.
- Hope in the approximation case (ε -NE, imprecise deviations).

Stochastic games: understanding of fundamental models

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- Narrow the gap between known existence and undecidability.
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- → Open problems:
 - Two-player case;
 - Terminal-reachability only objectives;
 - Exact complexity of equilibrium under ε -precise deviations.

Parametrized verification

- Qualitative analysis of almost-sure reachability.
- Several proposed extensions.
- Proof techniques (well-quasi-orders) reusable in other contexts.

Parametrized verification

- Qualitative analysis of almost-sure reachability.
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→ Open problems:

- Fundamental study: atomic operations;
- Process identifiers;
- Limit-sure;
- Quantitative analysis;
- Local strategy synthesis for almost-sure reachability.
- NE with local strategies?

Perspectives

- \sim Common goals:
 - Complexity gaps.
 - More complex objectives: model checking.
 - Efficient strategy synthesis (SMT-solvers, non-linear optimization).

Conclusions

Thank you.

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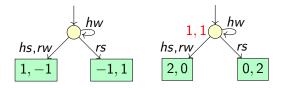
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Does a mixed Nash Equilibrium always exist?

Idea: two player concurrent zero-sum games may not have optimal strategies but only ε -optimal strategies (for any $\varepsilon > 0$).

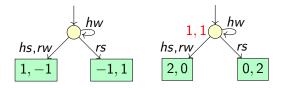


Hide-or-Run game. Shifted hide-or-Run game

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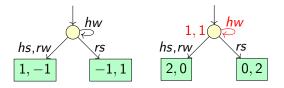


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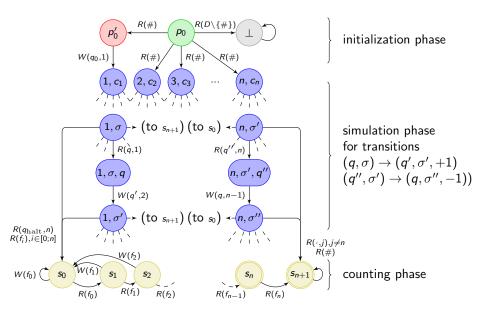
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