Chromatic number and Clique number of tournaments

Guillaume Aubian - Charles University

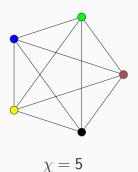
joint work with

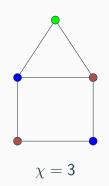
Pierre Aboulker - ENS Pierre CHARBIT - IRIF - Université Paris-Cité Raul Lopes - LIRMM

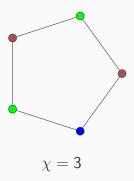
February 16, 2024

The chromatic number

Colouring: adjacent vertices receive distinct colours.



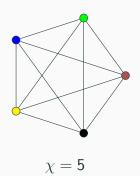


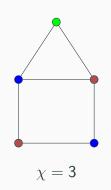


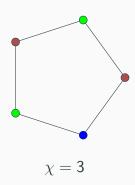
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Complexity: Deciding if $\chi \leq k$ is polynomial for k = 1, 2, NP-hard if $k \geq 3$

2

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Equivalently, what families \mathcal{F} are such that $Forb(\mathcal{F})$ has bounded χ ?

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Why does a graph have large chromatic number?

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Because it contains a large clique.

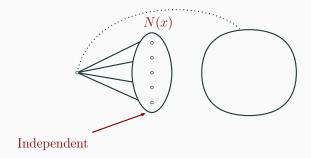
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NO : There exist triangle-free graphs with arbitrarily large χ



Forb($\{C_3\}$) has unbounded χ

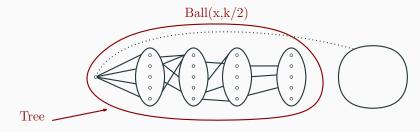
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Must it contain a short cycle?

NO : Erdős : There exist graphs with arbitrarily large χ and arbitrarily large girth (shortest cycle length)



 $Forb(\{C_3, C_4, \dots, C_k\})$ has unbounded χ

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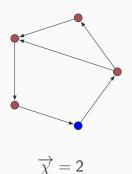
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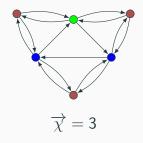
Gyárfás-Sumner Conjecture

Let H be a graph. The class of H-free graphs is χ -bounded if and only if H is a forest.

Colouring digraphs

- Colouring a digraph *D*: no monochromatic (induced) directed cycle.
- $\overrightarrow{\chi}(D)$: the dichromatic number of D.

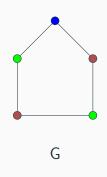




Dichromatic number generalises chromatic number

G: a graph \overrightarrow{G} : the digraph obtained by replacing each edge of G by a digon

Theorem: $\chi(G) = \overrightarrow{\chi}(\overleftarrow{G})$.



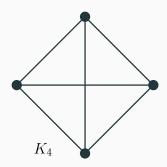


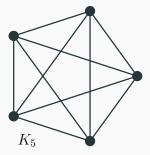
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 \Rightarrow Complete graphs $\chi(K_n) = n$





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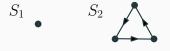
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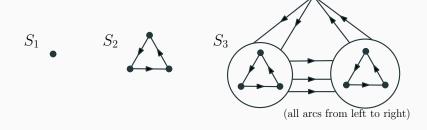
NO because transitive tournaments : $\overrightarrow{\chi}(TT_k) = 1$



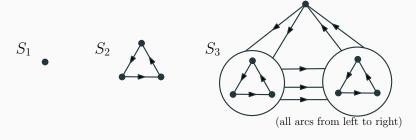
A recursive construction with $\overrightarrow{\chi}(S_k) = k$

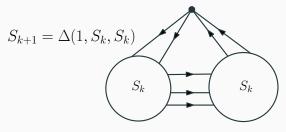


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What is the clique number of a digraph?

We would like that, for every graph G and every digraph D:

$$\omega(G) = \overrightarrow{\omega}(\overrightarrow{G}) \quad \text{and} \quad \overrightarrow{\omega}(D) \leq \overrightarrow{\chi}(D)$$

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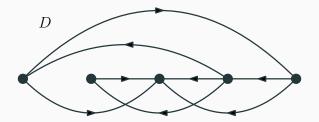
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Second attempt:

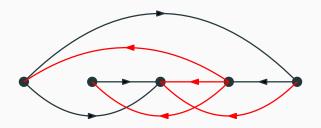
 $\overrightarrow{\omega}(D) = \text{size for a maximum transitive tournament of } D.$

Interesting, but does not satisfy $\overrightarrow{\omega}(D) \leq \overrightarrow{\chi}(D)$.

Let D be a digraph given with a total order \prec on V(D). Define the backedge graph D^{\prec} be the undirected graph with vertex set V(D) and edge uv if $u \prec v$ and $vu \in A(D)$.



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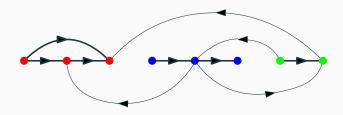


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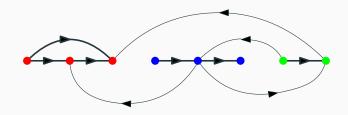
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Backedge graph

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Hence:

$$\overrightarrow{\chi}(D) = \min \{\chi(D^{\prec}) : \prec \text{ is a total order of } V(D)\}$$

Clique number of digraphs

So we have a new definition of the dichromatic number:

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This leads a natural definition of the clique number of a digraph:

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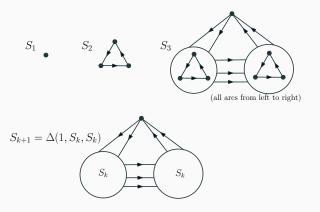
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We clearly have:

- $\overrightarrow{\omega}(\overleftarrow{G}) = \omega(G)$ (because for every \prec , $\overleftarrow{G}^{\prec} = G$), and
- $\overrightarrow{\omega}(D) \leq \overrightarrow{\chi}(D)$.

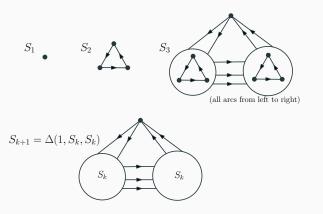
Tournaments with arbitrarily large clique number

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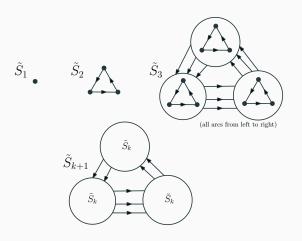


Question

Can we find tournaments with arbitrarily large clique number?

Tournaments with arbitrarily large clique number

Let $\tilde{S}_1 = TT_1$ and inductively, for $n \geq 1$, let $\tilde{S}_n = \Delta(\tilde{S}_{n-1}, \tilde{S}_{n-1}, \tilde{S}_{n-1})$.



Lemma

For any integer n, $\overrightarrow{\omega}(\widetilde{S}_n) \geq n$.

Theorem (Nguyen, Scott, Seymour, 2023)

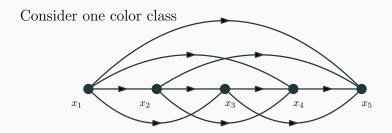
For every tournament T and every ordering \prec of V(T).

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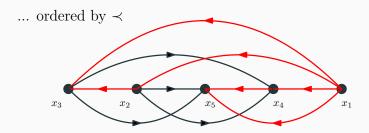
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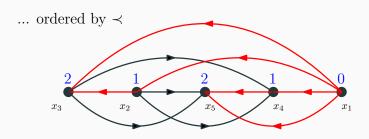
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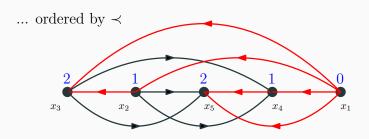


colour with with "max length back path"

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colour with with "max length back path"

It gives a colouring with at most $\omega(T^{\prec})$ colors

Repeat for the $\overrightarrow{\chi}(T)$ color classes

$\overrightarrow{\chi}$ -bounded class of tournaments

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If C is χ -bounded, then so is C^{subst}

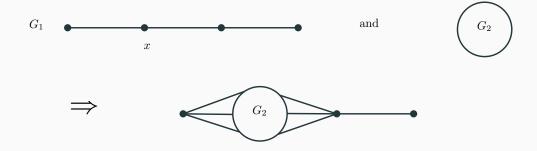
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Example :
$$C = \{K_1, K_2\}$$





$$\mathcal{C}^{subst}$$
=cliques





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Example:
$$C = \{K_1, K_2, \overline{K_2}\}$$
 K_1
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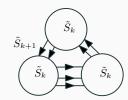




$$C^{subst} = \text{All subgraphs of } \tilde{S}_k$$







Theorem

If \mathcal{T} is $\overrightarrow{\chi}$ -bounded, so is \mathcal{T}^{subst}

Conjecture

Let $\mathcal D$ be a class of digraphs. If $\mathcal D$ is $\overrightarrow{\chi}$ -bounded, then so is $\mathcal D^{subst}$.

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If a class of graphs C is polynomially χ -bounded, then so is C^{subst} .

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Theorem (Chudnovsky, Penev, Scott, Trotignon, 2013)

If a class of graphs C is polynomially χ -bounded, then so is C^{subst} .

Question: Is it true that if \mathcal{T} is polynomially $\overrightarrow{\chi}$ -bounded, then so is \mathcal{T}^{subst} ?

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A class of tournaments \mathcal{T} is $\overrightarrow{\chi}$ -bounded if there exists a function f such that, for every $T \in \mathcal{T}$,

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Classes of tournaments defined by forbidding a single tournament

Given a tournament H, Forb(H) is the class of tournaments T such that T does not contain H as a subtournament.

Question

For which tournament H there exists a function f_H such that :

$$T \in Forb(H) \Rightarrow \overrightarrow{\chi}(T) \leq f(\overrightarrow{\omega}(T))$$

We say that such that H are $\overrightarrow{\chi}$ -binding.

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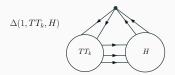
$$T \in Forb(H) \Rightarrow \overrightarrow{\chi}(T) \leq c_H$$

Such tournaments are called heroes.

Theorem (Berger Choromanski, Chudnovsky, Fox, Loebl, Scott, Seymour and Thomassé, 2013)

A tournament H is a hero if and only if:

- $H = K_1$.
- $\bullet \ H = (H_1 \Rightarrow H_2)$
- $H = \Delta(1, TT_k, H_1)$ or $H = \Delta(1, H, TT_k)$, where $k \ge 1$ and H_1 is a hero.



A detour : Gentlemen

Hero

A tournament H is a hero if there exists a number c_H such that

$$T \in Forb(H) \Rightarrow \overrightarrow{\chi}(T) \leq c_H$$

Let us define the analogue for $\overrightarrow{\omega}$:

Gentlemen

A tournament H is a gentlemen if there exists a number c_H such that

$$T \in Forb(H) \Rightarrow \overrightarrow{\omega}(T) \leq c_H$$

Of course, all heroes are gentlemen since $\overrightarrow{\omega} \leq \overrightarrow{\chi}$

Gentlemen and heroes are the same

Theorem

Heroes and gentlemen are the same.

Proof:

- We want to prove Gentlemen ⇒ Hero (the converse is obvious)
- Take a minimal counter-example *H*.
- Consider the sequence of tournaments S_1, S_2, S_3, \ldots
- ullet We proved that they have arbitrarily large $\overrightarrow{\omega}$, so must contain H
- So H is of the form $\Delta(1, A, B)$ (because H must be strong by minimality)
- Nguyen, Scott and Seymour proved $S_3=\Delta(1,\vec{C_3},\vec{C_3})$ is not a gentlemen, so H does not contain S_3
- So one of A or B is a transitive tournament and the other is a gentlemen and thus a hero by induction
- So H is a hero

$\overrightarrow{\chi}$ -bounding tournaments

Recall that we want to characterize those :

A tournament H is $\overrightarrow{\chi}$ -bounding if there exists a function f_H such that :

$$T \in Forb(H) \Rightarrow \overrightarrow{\chi}(T) \leq f(\overrightarrow{\omega}(T))$$

$\overrightarrow{\chi}$ -bounding tournaments - A necessary condition

Theorem

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- We claim that $\mathcal{T}[\mathcal{C}]$ is H-free
 - Let $T \in \mathcal{T}[\mathcal{C}]$. So there is \prec such that $T^{\prec} \in \mathcal{C}$, i.e. T^{\prec} has girth |V(H)| + 1.
 - Hence, for every $X \subseteq T$ such that |X| = |V(H)|, $T^{\prec}[X]$ is a forest, and thus distinct from H.

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- ullet Hence, by the Nguyen et al. inequality, there are tournaments in $\mathcal{T}[\mathcal{C}]$ can have arbitrarily large dichromatic number.
- So $\mathcal{T}[\mathcal{C}]$ is not $\overrightarrow{\chi}$ -bounded, and thus H-free tournaments is not $\overrightarrow{\chi}$ -bounded

Gyárfás-Sumner Conjecture for tournaments?

Conjecture

Let H be a tournament. Forb(H) is $\overrightarrow{\chi}$ -bounded if and only if H has an ordering \prec for which H^{\prec} is a forest.

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Recall that:

Gyárfás-Sumner Conjecture, 1981

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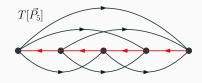
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We proved:

- the only if part (previous slide)
- it is enough to prove it for trees instead of forests,
- If H_1 and H_2 are $\overrightarrow{\chi}$ -binding, then so is $H_1 \Rightarrow H_2$,
- It holds for $H = T[\vec{P}_k]$.



Theorem

If H_1 and H_2 are $\overrightarrow{\chi}$ -binding, then so it $H_1 \Rightarrow H_2$.

We need the following very nice "local to global" result :

Theorem (Le, Harutyunyan, Thomassé and Wu, 2017)

There exists a function λ such that, if for every vertex v), $\overrightarrow{\chi}(T[N^+(v)]) \leq t$, then $\overrightarrow{\chi}(T) \leq \lambda(t)$.

Theorem

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Proof.

• Let $H = H_1 \Rightarrow H_2$. We prove the result by induction on $k = \overrightarrow{\omega}$

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Theorem

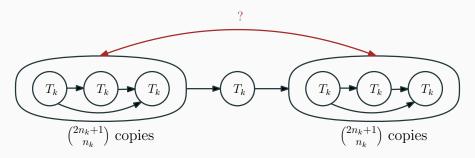
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A counterexample by G. Aubian



Construction:



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Arc local to global Theorem [Klingelhoefer and Newman, 2023] If G is an oriented graph such that $\alpha(G) \leq \alpha$, and $N_{\vec{C_3}}(xy) \leq k$, then $\overrightarrow{\chi}(G) \leq f(\alpha, k)$.

Some more open questions

Conjecture: The class of tournaments with twinwidth at most k is $\overrightarrow{\chi}$ -bounded.

Conjecture (Large $\overrightarrow{\omega}$ implies a $\overrightarrow{\omega}$ -cluster)

There exists two functions f and ℓ such that, for every integer k, every tournament T with $\overrightarrow{\omega}(T) \geq f(k)$ contains a subtournament X with $|X| \leq \ell(k)$ and $\overrightarrow{\omega}(X) \geq k$.

Conjecture: There exists a function g such that, if $\overrightarrow{\omega}(N^+(v)) \leq t$ for every vertex v, then $\overrightarrow{\omega}(T) \leq g(t)$.

Conjecture: for every *n*-vertex tournament T, $\overrightarrow{\omega}(T) = O(\log(n))$

THANK YOU FOR YOUR ATTENTION