Chromatic number and Clique number of tournaments

Guillaume Aubian - Charles University

joint work with

Pierre Aboulker - ENS Pierre Charbit - IRIF - Université Paris-Cité Raul Lopes - LIRMM

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The chromatic number

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Deciding if $\chi(G) \leq k$ is NP-hard if $k \geq 3$.

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Equivalently, which families \mathcal{F} are such that $Forb(\mathcal{F})$ has bounded χ ? where $Forb(\mathcal{F}) = \{G : \forall H \in \mathcal{F}, H \text{ is not a induced subgraph of } G\}$

Which substructures must appear if χ is large enough?

Which **finite** families \mathcal{F} are such that $Forb(\mathcal{F})$ has bounded χ ? where $Forb(\mathcal{F}) = \{G : \forall H \in \mathcal{F}, H \text{ is not a induced subgraph of } G\}$

Because it contains a large clique.

Must it contain a large clique?

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NO: There are triangle-free graphs with arbitrarily large χ



Forb({ C_3 }) has unbounded χ

Must it contain a short cycle?

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NO: Erdős proved there exist graphs with arbitrarily large χ and arbitrarily large girth (shortest cycle length)



 $Forb(\{C_3, C_4, \ldots, C_k\})$ has unbounded χ

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Gyárfás-Sumner Conjecture

Let F be a forest. For $b_{ind}(F)$ is χ -bounded.

• Colouring a digraph *D*: no monochromatic (induced) directed cycle.

 $\overrightarrow{\chi}(D) = \min\{k \mid D \text{ can be coloured with } k \text{ colours}\}$



G: a graph

 \overleftrightarrow{G} : the digraph obtained by replacing each edge of G by a digon

Theorem: $\chi(G) = \overrightarrow{\chi}(\overleftarrow{G}).$



Complexity : Deciding if $\overrightarrow{\chi} \leq k$ is NP-hard whenever $k \geq 2$.

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NO because transitive tournaments: $\overrightarrow{\chi}(TT_k) = 1$



Oriented graphs with Large $\overrightarrow{\chi}$?

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What is the clique number of a digraph?

We would like that, for every graph G and every digraph D:

$$\omega(G) = \overrightarrow{\omega}(\overleftrightarrow{G}) \text{ and } \overrightarrow{\omega}(D) \leq \overrightarrow{\chi}(D)$$

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First attempt:

 $\overrightarrow{\omega}(D) =$ size of a maximum symmetric clique in D. But for every oriented graph G, $\overrightarrow{\omega}(G) = 1$, which is sad. We would like that, for every graph G and every digraph D:

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First attempt:

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Second attempt:

 $\overrightarrow{\omega}(D) =$ size for a maximum transitive tournament of D. Interesting, but does not satisfy $\overrightarrow{\omega}(D) \leq \overrightarrow{\chi}(D)$. Let D be a digraph and \prec a total order on V(D).

Let the backedge graph D^{\prec} be the undirected graph with vertex set V(D) and edge uv if $u \prec v$ and $vu \in A(D)$.



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For every \prec :

 $\overrightarrow{\chi}(D) \leq \chi(D^{\prec})$

Moreover, there exists \prec such that $\chi(D^{\prec}) \leq \overrightarrow{\chi}(D)$.

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For every \prec :

$$\overrightarrow{\chi}(D) \leq \chi(D^{\prec})$$

Moreover, there exists \prec such that $\chi(D^{\prec}) \leq \overrightarrow{\chi}(D)$. Hence:

 $\overrightarrow{\chi}(D) = \min \{\chi(D^{\prec}) : \prec \text{ is a total order of } V(D)\}$

So we have a new definition of the dichromatic number:

 $\overrightarrow{\chi}(D) = \min \{\chi(D^{\prec}) : \prec \text{ is a total order of } V(D)\}$

This leads to a natural definition of the clique number of a digraph:

 $\overrightarrow{\omega}(D) = \min \left\{ \omega(D^{\prec}) : \prec \text{ is a total order on } V(D) \right\}$
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We clearly have:

• $\overrightarrow{\omega}(\overleftrightarrow{G}) = \omega(G)$ (because for every \prec , $\overleftrightarrow{G}^{\prec} = G$), and

• $\overrightarrow{\omega}(D) \leq \overrightarrow{\chi}(D).$

Tournaments can have large dichromatic number. Remember S_k



Tournaments can have large dichromatic number. Remember S_k



Question

Can we find tournaments with arbitrarily large clique number?

Tournaments with arbitrarily large clique number

Let $\tilde{S}_1 = TT_1$ and inductively, for $n \ge 1$, let $\tilde{S}_n = \Delta(\tilde{S}_{n-1}, \tilde{S}_{n-1}, \tilde{S}_{n-1})$.



Lemma

For any integer n, $\vec{\omega}(\tilde{S}_n) \geq n$.

For every tournament T and every ordering \prec of V(T).

$$rac{\chi(T^{\prec})}{\omega(T^{\prec})} \leq \overrightarrow{\chi}(T) \leq \chi(T^{\prec})$$

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colour with with "max length back path" It gives a colouring with at most $\omega(T^{\prec})$ colors Repeat for the $\overrightarrow{\chi}(T)$ color classes A class of tournaments \mathcal{T} is $\overrightarrow{\chi}$ -bounded if there exists a function f such that, for every $\mathcal{T} \in \mathcal{T}$, $\overrightarrow{\chi}(\mathcal{T}) \leq f(\overrightarrow{\omega}(\mathcal{T}))$

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If \mathcal{T} is $\overrightarrow{\chi}$ -bounded , so is $\mathcal{T}^{\textit{subst}}$

Substitution

Theorem If \mathcal{T} is $\overrightarrow{\chi}$ -bounded, so is \mathcal{T}^{subst} Example : $\mathcal{C} = \{K_1, \overrightarrow{K_2}, \overrightarrow{C_3}\}$ K_1 $\overrightarrow{K_2}$



 $\overrightarrow{C_3}$

Substitution

Theorem

If \mathcal{T} is $\overrightarrow{\chi}$ -bounded , so is $\mathcal{T}^{\textit{subst}}$

Corollary

 $\overrightarrow{\omega}((S_k)_{k\in\mathbb{N}})$ is unbounded. (and we have $\overrightarrow{\omega}(S_k) \ge \log_5(k)$)

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Conjecture

Let \mathcal{D} be a class of digraphs. If \mathcal{D} is $\overrightarrow{\chi}$ -bounded, then so is \mathcal{D}^{subst} .

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Theorem (Chudnovsky, Penev, Scott, Trotignon, 2013)

If a class of graphs C is polynomially χ -bounded, then so is C^{subst} .

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Question: Is it true that if \mathcal{T} is polynomially $\overrightarrow{\chi}$ -bounded, then so is \mathcal{T}^{subst} ?

Given a tournament H, Forb(H) is the class of tournaments T such that T does not contain H as a subtournament.

Question

For which tournament H there exists a function f_H such that :

$$T \in Forb(H) \Rightarrow \overrightarrow{\chi}(T) \leq f(\overrightarrow{\omega}(T))$$

We say that such that *H* are $\overrightarrow{\chi}$ -binding.

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Heroes

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Theorem (Berger Choromanski, Chudnovsky, Fox, Loebl, Scott, Seymour and Thomassé, 2013)

A tournament H is a hero if and only if:

- $H = K_1$.
- $H = (H_1 \Rightarrow H_2)$
- $H = \Delta(1, TT_k, H_1)$ or $H = \Delta(1, H, TT_k)$, where $k \ge 1$ and H_1 is a hero.



Hero

A tournament H is a hero if there exists a number c_H such that

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Let us define the analogue for $\overrightarrow{\omega}$:

Gentleman

A tournament H is a gentleman if there exists a number c_H such that

$$T \in Forb(H) \Rightarrow \overrightarrow{\omega}(T) \leq c_H$$

Of course, all heroes are gentlemen since $\overrightarrow{\omega} \leq \overrightarrow{\chi}$

Heroes and gentlemen are the same.

- We want to prove Gentlemen \Rightarrow Hero (the converse is obvious)
- Take a minimal counter-example H.
- Consider the sequence of tournaments S_1, S_2, S_3, \ldots
- \bullet We proved that they have arbitrarily large $\overrightarrow{\omega},$ so must contain H
- So H is of the form $\Delta(1, A, B)$ (because H must be strong by minimality)
- Nguyen, Scott and Seymour proved $S_3 = \Delta(1, \vec{C}_3, \vec{C}_3)$ is not a gentlemen, so H does not contain S_3
- So one of A or B is a transitive tournament and the other is a gentlemen and thus a hero by induction
- So H is a hero

Recall that we want to characterize those :

A tournament *H* is $\overrightarrow{\chi}$ -bounding if there exists a function f_H such that : $T \in Forb(H) \Rightarrow \overrightarrow{\chi}(T) \leq f(\overrightarrow{\omega}(T))$

Theorem

H is $\overrightarrow{\chi}$ -bounding \Rightarrow *H* has an ordering \prec such that H^{\prec} is a forest.

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Proof :

• Let H be a tournament such that no backedge graph of H is a forest.

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- Let H be a tournament such that no backedge graph of H is a forest.
- Let C be a the class of (undirected) graph with girth at least |V(H)| + 1.

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- Let H be a tournament such that no backedge graph of H is a forest.
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 - Let $T \in \mathcal{T}[\mathcal{C}]$. So there is \prec such that $T^{\prec} \in \mathcal{C}$, i.e. T^{\prec} has girth |V(H)| + 1.
$\overrightarrow{\chi}$ -bounding tournaments - A necessary condition

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- Observe that every $T \in \mathcal{T}[\mathcal{C}]$ has $\overrightarrow{\omega}(T) \leq 2$.

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- Observe that every $T \in \mathcal{T}[\mathcal{C}]$ has $\overrightarrow{\omega}(T) \leq 2$.
- Moreover, by a celebrated theorem of Erdős, graph in C can have arbitrarily large chromatic number.
- But it can be proved that $\chi(G^{\prec})$ big and $\omega(G^{\prec})$ small implies $\overrightarrow{\chi}$ small.
- So $\mathcal{T}[\mathcal{C}]$ is not $\overrightarrow{\chi}$ -bounded.

Conjecture

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Recall that:

Gyárfás-Sumner Conjecture, 1981

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If H_1 and H_2 are $\overrightarrow{\chi}$ -binding, then so is $H_1 \Rightarrow H_2$.

We need the following very nice "local to global" result :

Theorem (Le, Harutyunyan, Thomassé and Wu, 2017)

There exists a function λ such that, if for every vertex v), $\overrightarrow{\chi}(T[N^+(v)]) \leq t$, then $\overrightarrow{\chi}(T) \leq \lambda(t)$.

Theorem

If H_1 and H_2 are $\overrightarrow{\chi}$ -binding, then so is $H_1 \Rightarrow H_2$.

Proof.

• Let $H = H_1 \Rightarrow H_2$. We prove the result by induction on $k = \overrightarrow{\omega}$

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- Let $H = H_1 \Rightarrow H_2$. We prove the result by induction on $k = \overrightarrow{\omega}$
- There exists g(k) such that every H-free tournament of clique number at most k has x at most g(k)

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- Let $H = H_1 \Rightarrow H_2$. We prove the result by induction on $k = \overrightarrow{\omega}$
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- Say the arc uv is heavy if $\overrightarrow{\chi}(T[N(uv)]) \ge 2g(k) + 1$, and light otherwise.

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• For every u, $\min(\overrightarrow{\chi}(T[N^{-}(u)]), \overrightarrow{\chi}(T[N^{+}(u)]) \leq f(k+1) + g(k) + 2hg(k).$

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- If uv is a heavy arc, then $v \prec u$
- For every u, $\min(\overrightarrow{\chi}(T[N^{-}(u)]), \overrightarrow{\chi}(T[N^{+}(u)]) \leq f(k+1) + g(k) + 2hg(k).$
- V(D) can be partitionned into two sets of bounded dichromatic number

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- For any $k \geq 3$, NP-hard !
- For k = 2 ?

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- For any $k \geq 3$, NP-hard !
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We have an approximation result :

Theorem: given a tournament T, we can decide in poly-time if $\vec{\omega}(T) \ge 3$, or $\vec{\omega}(T) \le 10^{10}$

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Arc local to global Theorem [Klingelhoefer and Newman, 2023] If G is an oriented graph such that $\alpha(G) \leq \alpha$, and $N_{\vec{C}_3}(xy) \leq k$, then $\overrightarrow{\chi}(G) \leq f(\alpha, k)$.

Conjecture: The class of tournaments with twinwidth at most k is $\overrightarrow{\chi}$ -bounded.

Conjecture (Large $\overrightarrow{\omega}$ implies a $\overrightarrow{\omega}$ -cluster) There exists two functions f and ℓ such that, for every integer k, every tournament T with $\overrightarrow{\omega}(T) \ge f(k)$ contains a subtournament X with $|X| \le \ell(k)$ and $\overrightarrow{\omega}(X) \ge k$.

Conjecture: There exists a function g such that, if $\vec{\omega}(N^+(v)) \leq t$ for every vertex v, then $\vec{\omega}(T) \leq g(t)$.

Conjecture: for every *n*-vertex tournament T, $\overrightarrow{\omega}(T) = O(log(n))$ THANK YOU FOR YOUR ATTENTION